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|  | <b>Filing Date</b>          | February 20, 2002          |              |
|  | <b>First Named Inventor</b> | Stephen M. COUTTS          |              |
|  | <b>Group Art Unit</b>       | To Be Assigned             |              |
|  | <b>Examiner Name</b>        | To Be Assigned             |              |
| <b>Total Number Of Pages In This Submission</b>  | 20 pages & 36 refs          | <b>Attorney Docket No.</b> | 252312006002 |

| <b>ENCLOSURES (check all that apply)</b>  |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                   | <input type="checkbox"/> Assignment Papers<br><small>(for an Application)</small>   | <input type="checkbox"/> After Allowance Communication to Group   |
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| <input type="checkbox"/> Affidavits/declarations                                | <input type="checkbox"/> Petition to Convert to a Provisional Application   | <input type="checkbox"/> Status Letter  |
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| <input type="checkbox"/> Express Abandonment Request                            | <input type="checkbox"/> Terminal Disclaimer  | <ul style="list-style-type: none"><li>PTO Form 1449 - 8 pages</li><li>50 References with copy of 1449 (8 pages) affixed</li><li>Return Receipt Postcard</li></ul> |
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| <input type="checkbox"/> Certified Copy of Priority Document(s)                 | <input type="checkbox"/> CD, Number of CD(s) _____  |   |
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| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |   |   |

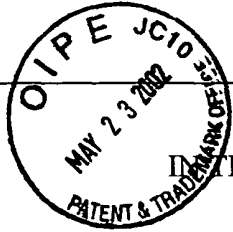
| <b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT</b> |   |
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| Firm<br>or<br>Individual Name                    | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018<br><br>Catherine M. Polizzi, Registration Number 40,130 |
| Signature  |   |
| Date   | May 20, 2002  |

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| <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 20, 2002.</p> <div style="text-align: center;"><br/>Kathy Farrell</div> |

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*Kathy Farrell*  
Kathy Farrell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Stephen M. COUTTS et al.

Serial No.: 10/081,076

Filing Date: February 20, 2002

For: A COMPOSITION FOR INDUCING  
HUMORAL ANERGY TO AN  
IMMUNOGEN COMPRISING A T  
CELL EPITOPE-DEFICIENT ANALOG  
OF THE IMMUNOGEN CONJUGATED  
TO A NONIMMUNOGENIC VALENCY  
PLATFORM MOLECULE

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 20, 24 and 105-138 are also submitted herewith. The documents numbered 3, 10, 16, 19, 22 and 89-104 were previously submitted in an Information Disclosure Statement directed to the related application Serial Number 09/563,167, filed May 2, 2000, and the documents numbered 1, 2, 4-9, 11-15, 17, 18, 21, 23 and 25-88 were previously submitted in

an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 08/118,055, filed September 8, 1993 (issued as U.S. Pat. No. 6,060,056 on May 9, 2000), and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 252312006002. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 20, 2002

Respectfully submitted,

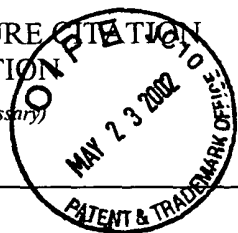
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Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 252312006002

Application Number: 10/081,076

Applicant

Stephen M. COUTTS et al.

Filing Date February 20, 2002

Group Art Unit To Be Assigned

Mailing Date May 20, 2002

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name              | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|-------------------|-------|----------|----------------------------|
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|                   | 2.       | 09/02/1980 | 4,220,565    | Katz              |       |          |                            |
|                   | 3.       | 06/14/1983 | 4,388,441    | Katz              |       |          |                            |
|                   | 4.       | 02/07/1984 | 4,430,260    | Lee et al.        |       |          |                            |
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|                   | 6.       | 03/17/1987 | 4,650,675    | Borel et al.      |       |          |                            |
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|                   | 11.      | 08/21/1990 | 4,950,713    | Katz              |       |          |                            |
|                   | 12.      | 05/21/1991 | 5,017,648    | Katz              |       |          |                            |
|                   | 13.      | 04/07/1992 | 5,102,663    | Livingston et al. |       |          |                            |
|                   | 14.      | 06/30/1992 | 5,126,131    | Dintzis et al.    |       |          |                            |
|                   | 15.      | 12/07/1993 | 5,268,454    | Barstad et al.    |       |          |                            |
|                   | 16.      | 01/04/1994 | 5,276,013    | Conrad et al.     |       |          |                            |
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|                   | 18.      | 04/07/1998 | 5,736,146    | Cohen et al.      |       |          |                            |
|                   | 19.      | 02/08/2000 | 6,022,544    | Dintzis et al.    |       |          |                            |
|                   | 20.      | 05/09/2000 | 6,060,056    | Coutts et al.     |       |          |                            |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No.   | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|----------------|---------|-------|----------|--------------------|
|                   | 21.      | 09/05/1991 | WO 91/12816    | WIPO    |       |          |                    |
|                   | 22.      | 07/09/1992 | WO 92/11029 A1 | WIPO    |       |          |                    |

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Docket Number 252312006002

Application Number: 10/081,076

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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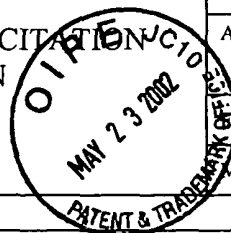
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Mailing Date May 20, 2002



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|  | 23. | 07/09/1992 | WO 92/11030 A1 | WIPO |  |  |  |  |
|  | 24. | 08/20/1992 | WO 92/13558 A1 | WIPO |  |  |  |  |

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(including author, title, Date, Pertinent Pages, Etc.)

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INFORMATION DISCLOSURE CITATION  
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Docket Number 252312006002

Application Number: 10/081,076

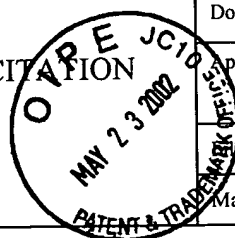
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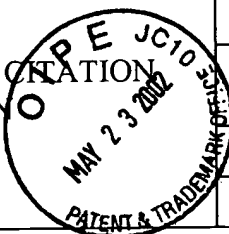


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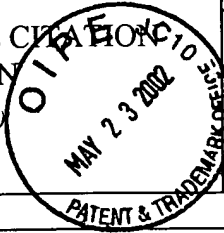
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| Form PTO-1449  |  | Docket Number 252312006002            | Application Number: 10/081,076 |
| INFORMATION DISCLOSURE CITATION<br>IN AN APPLICATION<br>(Use several sheets if necessary)  |  | Applicant<br>Stephen M. COUTTS et al. |                                |
|  |  | Filing Date February 20, 2002         | Group Art Unit To Be Assigned  |
|  |  | Mailing Date May 20, 2002             |                                |
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